



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/702,280	10/30/2000	Yonglin Huang	NFCS-99-001



Timothy A. Brison  
Sierra Patent Group, Ltd.  
P.O. Box 6149  
Stateline, NV 89449

**CONFIRMATION NO. 3667**  
**FORMALITIES LETTER**



\*OC000000005675680\*

Date Mailed: 01/12/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice **MUST** be returned with the reply.*

*Adilah Abdullah*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/08/2001 JAD001  
01 FC:101  
02 FC:105  
00000035 09702280  
710.00 0P  
130.00 0P



6P2872  
Docket No.: NFCS-00-016  
#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Huang, et al.  
Serial No: 09/702,280  
Filed: October 30, 2000  
For: OPTICAL POLARIZATION BEAM  
COMBINER/SPLITTER

) Art Unit: 2872  
)  
) Examiner:  
)  
)  
)  
)  
)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

Assistant Commissioner for Patents  
Box Customer Service  
Washington, D.C. 20231

on 1-30-01

Date

*Teri Muir*

Teri Muir

**REQUEST TO CORRECT PATENT OFFICE RECORDS**

Honorable Assistant Commissioner  
for Patents  
Box Customer Service  
Washington, D.C. 20231

Sir:

The attorney docket number for the above-identified patent application is  
**NFCS-00-016**. Please amend the appropriate records to reflect this attorney  
docket number.

Respectfully submitted,  
Sierra Patent Group, Ltd.

*TAB*

Timothy A. Brisson  
Reg. No.: 44,046

Dated: 1-30-01  
Sierra Patent Group, Ltd.  
P.O. Box 6149  
Stateline, NV 89449  
(775) 586-9500

Section  
#23

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of ) Art Unit: 2872  
 Huang, et al. ) Examiner:  
 Serial No: 09/702,280 )  
 Filed: October 30, 2000 )  
 For: OPTICAL POLARIZATION BEAM )  
 COMBINER/SPLITTER )



## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

Assistant Commissioner for Patents  
 Box Missing Parts  
 Washington, D.C. 20231

On: 1-30-01 Teri Muir  
 Date Teri Muir

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Honorable Assistant Commissioner  
 for Patents  
 Box Missing Parts  
 Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts dated January 12, 2001, please find enclosed herewith:

1. Copy of the Recorded Assignment from parent patent application serial number 09/365,680 indicating that the original assignment was recorded on September 27, 1999 on Reel 010266 and Frame 0228;
2. Copy of executed Declaration from parent patent application serial number 09/365,680;
3. Check in the amount of \$840.00 for filing fee and surcharge for assignee as a large entity calculated as follows:

Basic filing fee	\$710.00
Surcharge	<u>\$130.00</u>
Total	\$840.00

4. Copy of Notice to File Missing Parts.

In the event any variance exists between the amount enclosed and the patent office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, the Assistant Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 50-0612. An additional copy of this page is enclosed.

Respectfully submitted,  
Sierra Patent Group, Ltd.



Timothy A. Brisson  
Reg. No.: 44,046

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Basic filing fee	\$710.00
Surcharge	<u>\$130.00</u>
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Respectfully submitted,  
Sierra Patent Group, Ltd.

A handwritten signature in black ink, appearing to read "T.A. Brisson".

Timothy A. Brisson  
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**COPY**